Dear Subscriber,

On behalf of our staff and consulting team, it is my sincere pleasure to welcome you to Urban Awareness USA, one of the finest nonprofit organizations of its kind in the nation.

On the pages that follow, you will find contact information, application instructions and more, for seven private foundations & philanthropists that may be willing to provide Seed Money to support the nonprofit work you do (or plan to do) in your community.

I hope you find this research useful.

If you need additional assistance, tools or research to help you fund your organization, please give us a call, toll free, (866) 975-8722 or our visit our website www.UrbanAwarenessUSA.org.

You can also contact me directly via email at tracyjbrown@urbanawarenessusa.org.

Thank you,

-Tracy

Tracy J. Brown, CEO
Urban Awareness USA
Charles Stewart Mott Foundation

Last Updated: 2016-05-11

At A Glance
Mott Foundation Bldg., 503 S. Saginaw St., Ste. 1200
Flint, MI United States 48502-1851
Telephone: (810) 238-5651
Fax: (810) 766-1753
E-mail: info@mott.org
URL: www.mott.org

Type of Grantmaker
Independent foundation

Additional Descriptor
- Celebrity: Business

Financial Data
(yr. ended 2014-12-31)
Assets: $2,798,215,005
Total giving: $72,899,255

Background
Incorporated in 1926 in MI - Founded by the late automobile industry pioneer Charles Stewart Mott. Mott was one of the original partners in the creation of General Motors Corp., and served on the board of directors until 1973. In addition, he served as the mayor of Flint, Michigan, for two non-consecutive terms

Limitations
Giving nationally and to emerging countries in Central and Eastern Europe, Russia, and South Africa.
No support for religious activities or programs serving specific religious groups or denominations. Faith based organizations may submit inquiries if the project falls within the foundation's guidelines and serves a broad segment of the population.
No grants to individuals or for capital development (with the exception of the Flint area and legacy institutions). Grants for research, project replication or endowments are rarely funded unless these activities grow out of work the foundation already supports. No support for local projects, except in the Flint area, unless they are part of a Mott-planned national demonstration or network of grants. Film and video projects, books, scholarships, and fellowships are rarely funded; no loans.

**Purpose and Activities**
To support efforts that promote a just, equitable and sustainable society with the primary focus on civil society, the environment, the area of Flint, MI and poverty. The foundation makes grants for a variety of purposes within these program areas including: philanthropy and voluntarism; assisting emerging civil societies in Central/Eastern Europe, Russia and South Africa; conservation of fresh water ecosystems in North America; reform of international finance and trade; improving the outcomes for children, youth and families at risk of persistent poverty; education and neighborhood and economic development. The foundation also makes grants to strengthen the capacity of local institutions in its home community of Flint, MI.

**Program Area(s)**
The grantmaker has identified the following area(s) of interest:

**Civil Society**
The mission of the program is to strengthen philanthropy and the nonprofit sector as vital vehicles for increasing civic engagement and improving communities and societies. The program is organized into four program areas: 1) Central/Eastern Europe and Russia; 2) South Africa; 3) United States; 4) Global Philanthropy and Nonprofit Sector. While grantmaking objectives and strategies are tailored to the specific circumstances of each geographic region in which the foundation works, two broad themes unite grantmaking within the program: to empower people and nonprofits to take collective action that promotes and defends democratic values; and to promote and develop a more robust culture of private giving for public good. Funding for unsolicited proposals is limited throughout the program. Those interested in applying for funding are strongly encouraged to submit letters of inquiry instead of a full proposal.

**Environment**
The mission of this program is to support the efforts of an engaged citizenry working to create accountable and responsive institutions, sound public policies, and appropriate models of development that protect the diversity and integrity of selected ecosystems in North America and around the world. The program is organized into three grantmaking areas: 1) Conservation of Freshwater Ecosystems; 2) International Finance for Sustainability; and 3) Special Initiatives. Funding for unsolicited proposals is limited throughout the program. Those interested in applying for funding are strongly encouraged to submit letters of inquiry instead of a full proposal.
**Exploratory and Special Projects (XSP)**
These grants support unusual or unique opportunities to address significant national and international problems. Under this program, including the program area for Historically Black Colleges and Universities, the foundation makes a limited number of small grants for projects falling outside its regular grantmaking programs. Proposals for XSP grants are by invitation only; unsolicited proposals are discouraged.

**Flint Area**
The mission of this program is to foster a well-functioning, connected community that is capable of meeting the economic, social and racial challenges ahead. The program is organized into four grantmaking areas: 1) Arts, culture and education; 2) Economic revitalization; 3) Strengthening community; and 4) Special initiatives. Funding for unsolicited proposals is limited throughout the program. Those interested in applying for funding are strongly encouraged to submit letters of inquiry instead of a full proposal.

**Pathways Out of Poverty**
The mission of this program is to identify, test and help sustain pathways out of poverty for low-income people and communities. The foundation believes education, economic participation and community engagement are critical to moving low-income Americans toward greater prosperity. These three areas are the pillars of the foundation's programs to address poverty in the U.S. The program is organized into four grantmaking areas: 1) Improving Community Education; 2) Expanding Economic Opportunity; 3) Building Organized Communities; and 4) Special Initiatives. The first three areas enable the foundation to support efforts to nurture systemic change in the educational, economic and community systems that produce and perpetuate poverty in the U.S. The fourth area allows the foundation to support projects that are crosscutting in nature and to explore special opportunities. The program also reflects the foundation's belief that racism contributes to, and shapes, poverty in the U.S. Thus, the foundation remains alert to opportunities to address institutional racism. Funding for unsolicited proposals is limited throughout the program. Those interested in applying for funding are strongly encouraged to submit letters of inquiry instead of a full proposal.

**Program-Related Investment**
The foundation has made PRIs in the form of loans to and equity investments in social service and community development organizations.

**Fields of Interest**

**Subjects**
- Child development
- Child welfare
- Community and economic development
- Diversity and intergroup relations
- Education
- Employment
- Human services
• Leadership development
• Natural resources
• Parent education
• Rural development
• Sustainable development
• Urban development
• Urban renewal
• Voluntarism

International Interests
• Eastern Europe
• Latin America
• Russia
• South Africa
• Ukraine

Population Groups
• Academics
• Children and youth
• Economically disadvantaged people
• Ethnic and racial groups
• Low-income and poor people
• Students
• Young adults

Geographic Focus
• National, International

Support Strategies
• Conferences and exhibits
• Continuing support
• General support
• Program development
• Program evaluations
• Research
• Research and evaluation
• Seed money
• System and operational improvements
• Technical assistance

Transaction Types
• Employee matching gifts
• Matching grants
• Program-related investments
Publications
- Occasional report
- Annual report (including application guidelines)
- Financial statement

Application Information
Full proposals by invitation only
Application form not required.
Applicants should submit the following:
  1. Copy of current year's organizational budget and/or project budget
  2. Statement of problem project will address
  3. Detailed description of project and amount of funding requested
Initial approach: Online letter of inquiry
Board meeting date(s): Mar., June, Sept., and Dec.
Deadline(s): None; grants are determined by Aug. 31 of any given year
Final notification: 60-90 days

Donor(s)
Note: If a donor is deceased, the symbol (‡) follows the name.
- Charles Stewart Mott‡

Officers and Trustees
- William S. White, Chair. and C.E.O. and Trustee
- Frederick S. Kirkpatrick, Vice-Chair. and Trustee
- Ridgway H. White, Pres.
- Jay C. Flaherty, V.P. and C.I.O.
- Neal R. Hegarty, V.P., Progs.
- Gavin T. Clabaugh, V.P., Inf. Svcs.
- Kathryn A. Thomas, V.P., Comms.
- Douglas X. Patino, Tr. Emeritus
- Lizabeth Ardisana
- Tiffany W. Lovett
- Webb F. Martin
- Olivia P. Maynard
- John Morning
- Maryanne Mott
- Charlie Nelms
- William H. Piper
- Marise M.M. Stewart

Staff

Number of Staff
54 full-time professional
1 part-time professional
24 full-time support

Key Staff
Note: Does not include officers.

- Kaitlyn C. Adler, Grants Admin.
- Karen Aldridge-Eason, Fdn. Liaison
- Vyacheslav Bakhim, Civil Society Prog. (Russia)
- Michael S. Birchmeier, Grants Mgr.
- Kyle Caldwell, Prog. Dir., Pathways Out of Poverty
- Vera Dakova, Prog. Off., Civil Society, Central/Eastern Europe and Russia
- Nick Deychakiwsky, Prog. Off., Civil Society, U.S. and Global Philanthropy and Nonprofit Sector
- Duane M. Elling, Comms. Off.
- Julie M. Flynn, Mgr., HR
- Mary A. Gailbreath, Dir., Grants Admin. and Asst. Secy.-Treas.
- Gwynn Hughes, Prog. Off., Pathways Out of Poverty
- Alicia Kitsuse, Prog. Off., Flint Area
- Frederick L. Kump, Grants Financial Analyst and Prog. Off., Flint Area
- Shannon Lawder, Prog. Dir., Civil Society
- Jennifer Liversedge, Asst. to Pres. and Prog. Off.
- Ross Maclaren, Prog. Off., Civil Society, Central/ Eastern Europe and Russia
- Joseph M. Martin, Prog. Off., Flint Area
- Benita D. Melton, Prog. Off., Pathways Out of Poverty
- Mamo Mohapi, Assoc. Prog. Off., South Africa
- Yazeed Moore, Prog. Off., Pathways Out of Poverty
- Samuel B. Passmore, Prog. Dir., Environment
- Kimberly S. Roberson, Prog. Dir., Flint Area
- Traci Romine, Prog. Off., Environment
- Macie Schriner, Comm. Off., Online Strategies
- Amy C. Shannon, Environment Prog.
- Vuyiswa Sidzumo, Dir., South Africa
- Sandra N. Smitley, Prog. Off., Environment
- Svitlana Suprun, Civil Society Prog. (Belarus, Moldova, Ukraine)
- Jumana Z. Vasi, Prog. Off., Environment
- J. Walter Veirs, Regional Dir., Central/Eastern Europe and Russia
- Sarah Murray, Prog. Asst., Environment
- DeJuan J. Woods, Assoc. Prog. Off., Pathways Out of Poverty
- Dondre Young, Prog. Asst., Environment

Memberships
Affinity Groups

- Africa Grantmakers Affinity Group
- Asian Americans/Pacific Islanders in Philanthropy, Inc.
- Association for Enterprise Opportunity
- Association of Black Foundation Executives
- BoardSource
- Coalition for Community Schools
- Consortium of Foundation Libraries
- Consultative Group on Biological Diversity
- Emerging Practitioners in Philanthropy
- Environmental Grantmakers Association
- European Foundation Centre
- Funders' Network for Smart Growth and Livable Communities, Inc.
- Grantmakers for Children, Youth and Families
- Grantmakers for Education
- Grantmakers for Effective Organizations
- Hispanics in Philanthropy
- Interfaith Funders
- Native Americans in Philanthropy
- Neighborhood Funders Group
- U.S.-Mexico Border Philanthropy Partnership
- Youth Transition Funders Group

Associations and Other Philanthropic Organizations

- Africa Grantmakers Affinity Group
- Association for Enterprise Opportunity
- Association of Black Foundation Executives
- Association Of Charitable Foundations
- BoardSource
- Border Philanthropy Partnership
- CIVICUS: World Alliance for Citizen Participation
- Consultative Group on Biological Diversity
- Council on Foundations, Inc.
- Emerging Practitioners in Philanthropy
- Environmental Grantmakers Association
- European Foundation Centre
- Funders' Network for Smart Growth and Livable Communities, Inc.
- Grantmakers for Children, Youth and Families
- Grantmakers for Education
- GuideStar USA
- Hispanics in Philanthropy
- Independent Sector
- Interfaith Funders
- Michigan Nonprofit Association
• Native Americans in Philanthropy
• Neighborhood Funders Group
• Network of European Foundations for Innovative Cooperation
• Russian Donors Forum
• Ukrainian Philanthropists Forum
• Youth Transition Funders Group

Financial Data
Year ended 2014-12-31
Assets: $2,798,215,005 (market value)
Expenditures: $118,012,545
Total giving: $72,899,255
Qualifying distributions: $87,766,986
Giving activities include:
$1,072,000 for foundation-administered programs

Online Communications
• YouTube
• E Newsletter
• LinkedIn
• Program News Feeds
• Facebook
• RSS grants feed
• Grant Database
• Twitter

Additional Location Information
County: Genesee
Metropolitan area: Flint, MI
Congressional district: Michigan District 05
Evelyn and Walter Haas, Jr. Fund

Last Updated: 2016-10-31

At A Glance

114 Sansome St., Ste. 600
San Francisco, CA United States 94104-3814
Telephone: (415) 856-1400
Contact: Clayton Juan, Grantmaking and Accounting Assoc.
Fax: (415) 856-1500
E-mail: siteinfo@haasjr.org
URL: www.haasjr.org

Type of Grantmaker
Independent foundation

Additional Descriptor
- Family foundation

Financial Data
(yr. ended 2014-12-31)
Assets: $485,741,754
Total giving: $28,040,353

Background
Incorporated in 1953 in CA - The late Walter A. Haas, Jr. was Pres., C.E.O., and Chair. of the board of Levi Strauss & Company from 1958-1981. Haas was the great grand-nephew of Levi Strauss, the founder of the company. In 1953, he established the Evelyn and Walter Haas, Jr. Fund with his wife, Evelyn. The grantmaker is a signatory to Philanthropy’s Promise, an initiative of the National Committee for Responsive Philanthropy (NCRP). By signing on, the grantmaker has committed to allocating the majority of its grantmaking dollars to marginalized communities
and at least 25 percent to social justice strategies, such as advocacy, community organizing, and civic engagement

**Limitations**
Giving primarily in the San Francisco Bay area, CA; some national funding. No support for private foundations, consumer or professional groups, labor or trade associations, research centers, or religious organizations. No grants to individuals, or for deficit or emergency financing, workshops, major equipment, scholarships, direct mail campaigns, fundraising events, annual appeals, conferences, publications, capital or endowment campaigns, films or videos, or basic research.

**Purpose and Activities**
The foundation focuses on five primary issue areas: 1) Immigrant Rights and Integration; 2) Gay and Lesbian Rights; 3) Education Equity; 4) Leadership development; 5) Community Partnerships and Initiatives. The foundation works to advance the following beliefs: that immigrants should have equal opportunities to become fully engaged citizens; that gays and lesbians should have equal marriage rights, as well as other protections; and that all people should have equal access to a quality education, to civic and cultural assets, and to programs offering vital services to communities in need.

**Program Area(s)**
The grantmaker has identified the following area(s) of interest:

**Community Partnerships & Initiatives**
Over the decades, the fund has been a leading supporter of many of the organizations and institutions that make the Bay Area the special place that it is. Today, it is building on this work through special partnerships and initiatives that create more opportunities for more people to enjoy the benefits of living in this community that we feel privileged to call home. Grants in this area are by invitation only and are generally made to organizations with whom the fund has had a long term relationship.

**Education Equity**
The fund has been an active supporter of programs that provide young people with important life skills. The fund is currently focused on an emerging public-private partnership in San Francisco aimed at closing the racial and economic achievement gap by grade three. It is also continuing to explore how to increase academic success and completion rates of community college students in the Bay Area.

**Employee Matching Gifts**
The fund matches the gifts of all staff members with a minimum of one year of service to 501(c)(3) organizations. The gifts are matched on a two-to-one ratio, up to $5,000.

**Gay and Lesbian Rights**
The fund is a leading supporter of gay and lesbian equality, based on our founders’ vision of a just and caring society where all people are able to live, work and raise their families with
dignity. The fund is working with an array of partners to achieve the gains needed to tip the scales once and for all in favor of equal rights for gays and lesbians nationwide. It has three funding priorities in this area: 1) Achieve marriage equality in more states; 2) Advance nondiscrimination protections at all levels of government; 3) Build support for gay equality in communities of faith.

Immigrant Rights
Working with its partners at the local, state and national levels, the fund strives to make sure that today’s immigrants are part of a society that welcomes their contributions, respects their humanity, and rewards them on an equal basis for their hard work and ideas. It has three funding priorities in the area: 1) Strengthen public understanding about the need for comprehensive immigration reform at the national level; 2) Increase civic participation among immigrants in California; 3) Support public education about the need for immigrant-friendly state and local policies in California.

Nonprofit Leadership
Strengthening nonprofit leadership is a key grantmaking priority for the fund. The fund is addressing the leadership needs of the nonprofit organizations it supports, and exploring new approaches to strengthening leadership in the fields and movements in which it works. It has two funding priorities in this area: 1) Invest in the leadership of Haas, Jr. Fund grantees so they can more effectively achieve their social change goals; 2) Advance knowledge and learning about nonprofit leadership.

Fields of Interest

Subjects
- Community college education
- Early childhood education
- Education
- Immigrant rights
- Leadership development
- LGBTQ rights
- Nonprofits

Population Groups
- Economically disadvantaged people
- Immigrants
- Immigrants and migrants
- LGBTQ people
- Low-income and poor people

Geographic Focus
- California
- National
Support Strategies
- Capacity-building and technical assistance
- General support
- Grantee relations
- Program development
- Program evaluations
- Public engagement and education
- Seed money
- Technical assistance

Transaction Types
- Employee matching gifts
- Matching grants

Publications
- Financial statement
- Grants list
- Newsletter
- Application guidelines

Application Information
The fund has limited resources for new grantees and is temporarily accepting grant proposals on an invitation-only basis.
Application form not required.
Applicants should submit the following:
1. Copy of IRS Determination Letter
2. Brief history of organization and description of its mission
3. Population served
4. Listing of board of directors, trustees, officers and other key people and their affiliations
5. Detailed description of project and amount of funding requested
6. How project's results will be evaluated or measured
7. Statement of problem project will address
8. Qualifications of key personnel
9. Results expected from proposed grant
10. Listing of additional sources and amount of support
11. Copy of current year's organizational budget and/or project budget

Initial approach: 1- to 2- page letter of inquiry
 Copies of proposal: 1
Board meeting date(s): At least 3 times per year
Deadline(s): None
Final notification: Within 4 months of receipt of full proposal
Additional information: If proposed project is deemed by staff to be within the fund's giving program, a full proposal will be requested along with a completed diversity form
Donor(s)

Note: If a donor is deceased, the symbol (‡) follows the name.

- Walter A. Haas, Jr.‡
- Evelyn D. Haas‡

Officers and Trustees

- Walter J. Haas, Chair. and Trustee
- Ira S. Hirschfield, Pres. and Trustee
- Michael Blake, V.P., Finance
- Jennie Lehua Watson, Comm. and V.P., Special Initiatives
- Elizabeth Haas Eisenhardt, Secy. and Trustee
- Robert D. Haas, Treas. and Trustee
- Ramona Rey-Murphy, Cont.
- Monica Pressley, C.F.O.

Staff

Number of Staff

16 full-time professional
2 part-time professional
7 full-time support
1 shared staff (shared with )

Key Staff

Note: Does not include officers.

- Bob Bailey, Dir., Human Resources and Admin.
- Cathy Cha, Sr. Prog. Off., Immigrant Rights and Integration
- Denis Chicola, Dir. Comms.
- Matt Foreman, Dir., Gay and Lesbian Rights Prog.
- Theresa Garcia, Prog. Dir., Education Equity
- Heather Grahame, Prog. and Grants Mgr.
- Clayton C. Juan, Grantmaking and Accnt. Assoc.
- Lisa Marquez-Valenti, Prog. Assoc.
- Michael Smith, Mgr., Inf. Systems
- Maya Trabin, Comm. Off.
- Linda Wood, Sr. Dir., Leadership and Grantmaking

Memberships

Regional Associations of Grantmakers

- Northern California Grantmakers

Affinity Groups

- Asian Americans/Pacific Islanders in Philanthropy, Inc.
- Grantmakers for Education
- Grantmakers for Effective Organizations
- National Committee for Responsive Philanthropy

**Associations and Other Philanthropic Organizations**
- Council on Foundations, Inc.
- Grantmakers for Education
- Independent Sector

**Financial Data**
Year ended 2014-12-31
Assets: $485,741,754 (market value)
Expenditures: $35,130,154
Total giving: $28,040,353
Qualifying distributions: $33,690,414
Giving activities include:
$27,645,683 for 300 grants (high: $4,600,000; low: $500)
$387,220 employee matching gifts
$836,069 for foundation-administered programs
$7,450 for 1 in-kind gift

**Online Communications**
- Twitter
- Grant Database
- Knowledge Center
- E Newsletter
- Facebook
- LinkedIn
- Evelyn and Walter Haas, Jr. Fund's Philanthropy Promise

**Additional Location Information**
County: San Francisco
Metropolitan area: San Francisco-Oakland-Hayward, CA
Congressional district: California District 12
Lilly Endowment Inc.

Last Updated: 2016-05-11

At A Glance

2801 N. Meridian St., P.O. Box 88068
Indianapolis, IN United States 46208-0068
Telephone: (317) 924-5471
Contact: Ronni Kloth, Comm. Dir.
Fax: (317) 926-4431
URL: www.lillyendowment.org

Type of Grantmaker
Independent foundation

Additional Descriptor
- Celebrity: Business
- Family foundation

Financial Data
(yr. ended 2014-12-31)
Assets: $9,995,102,248
Total giving: $333,630,649

Background
Incorporated in June 1937 in IN - Founded by three members of the Lilly Family- J.K. Lilly Sr. and sons J.K. Jr. and Eli Lilly with gifts of stock in Eli Lilly and Co., the family pharmaceutical business. Gifts of stock in Eli Lilly and Co. remain the financial bedrock of the Endowment. The Endowment, however, is a separate entity from the company, with a distinct governing board, staff and location

Limitations
Giving limited to IN, with emphasis on Indianapolis, for community development projects (including the arts, preservation, capital building funds, operating funds, and social services). Education funding focused principally on Indiana under invitational grant programs. National giving in religion, philanthropic studies, leadership education, and selected higher education initiatives, principally to increase educational opportunities for minorities. Generally, no support for healthcare programs, mass media projects, libraries, individual elementary/secondary schools, or for individual churches (except as part of invitational grant programs). No grants to individuals (except for fellowships awarded under special programs) or for endowments (except in the context of special initiatives).

Purpose and Activities
The endowment supports religion, education, and community development, with special emphasis on initiatives that benefit youth, that foster philanthropic leadership among nonprofit institutions, and that promote the causes of philanthropy and volunteerism.

Program Area(s)
The grantmaker has identified the following area(s) of interest:

Community Development
The program exists to serve institutions that can make a vital difference in the quality of life for Indianapolis and Indiana. The endowment helps support the physical, intellectual, and human service elements critical to flourishing communities. The thrust is to build the capacity of local leadership to pursue local solutions to local problems. The areas of focus are: 1) Indianapolis initiatives, including arts, culture, and preservation, human services, community development and revitalization, and youth development; 2) Indiana initiatives, including community leadership development, United Ways, community foundations, and global perspective and competitiveness; 3) National initiatives, activity is limited to research, evaluation or demonstration projects of significance to Indiana-based institutions; and 4) Economic public policy initiatives, limited to a number of highly circumscribed programs that may advance free market principles and democratic processes. More information is available at www.lillyendowment.org/communitydevelopment.html.

Education
The education grantmaking program seeks to advance all areas of education in the state of Indiana. Special emphasis is given to higher education and to programs designed to increase the number of Indiana residents who have a bachelor’s degree. The endowment also funds the Teacher Creativity Fellowship Program to promote the personal and professional renewal of Indiana schoolteachers and education professionals. The Lilly Endowment Community Scholarship Program awards full-tuition scholarships to Indiana students attending Indiana colleges and universities. The endowment also supports on an invitational basis efforts to improve higher education opportunities throughout the country for African-Americans, Hispanics and Native Americans. Occasionally, policy-oriented research projects are funded on major educational issues. Visit www.lillyendowment.org/education.html for more information.
Fundraising and Philanthropy
The endowment supports programs (nationally and in Indiana) to increase the charitable giving among Americans; funds efforts to create a body of reliable knowledge about giving and fundraising and to encourage scholarly pursuit of the subject.

Grants to Individuals Program
Fellowships for professional and personal renewal to K-12 public and private school educators who are residents of IN.

Leadership Education
The endowment supports and nurtures good stewardship among the trustees and executives of the types of charitable organizations it serves; funds scholarship on the characteristics of able trusteeship and good governance of nonprofit organizations.

Matching Gifts
The endowment awards incentive grants to encourage employee giving.

Religion
The primary aim in this field is to deepen and enrich the religious lives of American Christians, principally by supporting efforts to encourage, support and educate a new generation of talented pastors and to strengthen current pastors in their capacities for excellence in ministry. The endowment seeks to help congregations be vibrant, healthy communities of faith, and encourages efforts that make available and accessible the wisdom of the Christian tradition for contemporary life. The endowment supports seminaries, theological schools and other educational and religious institutions that share these aims. Support is also given for projects that strengthen the contributions which religious ideas, practices, values and institutions make to the common good of our society. The endowment funds national and Indiana clergy renewal programs, administered by Christian Theological Seminary, that enable congregations to support their pastors for an extended time of renewal and reflection. Please visit www.lillyendowment.org/religion.html for information on other major religion programs, such as the Program for Theological Exploration of Vocation, and for connections to other Endowment-funded religion projects.

Teacher Creativity Fellowship Program
The program supports creative projects that are personally renewing and intellectually revitalizing to individual Indiana teachers and education professionals. Personal renewal and individual intellectual growth continue to be the primary goals of this program. If the proposed projects also will contribute to students' engagement and learning, applicants are encouraged to discuss that aspect in their applications. The endowment will grant up to 100 Teacher Creativity awards of $10,000 each. Proposals will be judged on substance, clarity, originality and feasibility of the project. Proposals simply to develop new course outlines or lesson units typically do not reflect sufficient imagination, creativity, or thought to merit an award. The endowment also encourages teams of eligible individuals to submit collaborative proposals. If a collaborative project is funded, each participant may receive a $10,000 fellowship. Contact: Barbara S.
DeHart, Prog. Dir., tel.: (317) 916-7345. See website for eligibility requirements and application procedure.

Youth
The endowment supports direct-service organizations in central Indiana, building the capacity of intermediary organizations throughout the state, and provides professional development for the staffs and volunteer leadership of these organizations. The endowment cofounded and participates in the Summer Youth Program Fund, a collective of grantmakers that fund summer youth programs in Marion County.

Fields of Interest

Subjects
- Arts and culture
- Community and economic development
- Disasters and emergency management
- Education
- Elementary and secondary education
- Graduate and professional education
- Higher education
- Historic preservation
- Housing development
- Human services
- Museums
- Philanthropy
- Public policy
- Religion
- Sports and recreation
- Theology
- Tribal and indigenous religions
- Voluntarism
- Youth development
- Youth organizing

Population Groups
- Academics
- Adolescents
- Adults
- American Indians
- Children
- Children and youth
- Ethnic and racial groups
- Female adults
- Female children and youth
- Female infants and toddlers
• Female young adults
• Females
• Homeless people
• Infants and toddlers
• Low-income and poor people
• Male adults
• Male children and youth
• Male infants and toddlers
• Male young adults
• Males
• Military personnel
• People of African descent
• People of Latin American descent
• People with disabilities
• People with intellectual disabilities
• People with physical disabilities
• People with psychosocial disabilities
• Seniors
• Students
• Young adults

Geographic Focus
• Indiana
• National

Support Strategies
• Annual campaigns
• Building and renovations
• Capital campaigns
• Continuing support
• Convening
• Curriculum development
• Emergency funds
• Endowments
• Equipment
• Fellowships
• General support
• Institutional evaluations
• Internships
• Land acquisitions
• Leadership and professional development
• Pilot programs
• Policy, advocacy and systems reform
• Professorships
- Program development
- Program evaluations
- Publications
- Research
- Research and evaluation
- Scholarships
- Seed money
- Technical assistance

Transaction Types
- Employee matching gifts
- Matching grants
- Pro bono consulting services

Publications
- Application guidelines
- Annual report (including application guidelines)
- Occasional report

Application Information
Proposals submitted via fax or e-mail will not be considered
Application form not required.
Applicants should submit the following:
1. Listing of additional sources and amount of support
2. Brief history of organization and description of its mission
3. Population served
4. How project will be sustained once grantmaker support is completed
5. Detailed description of project and amount of funding requested
6. How project's results will be evaluated or measured
7. Copy of IRS Determination Letter
8. Statement of problem project will address
9. Timetable for implementation and evaluation of project
10. Copy of current year's organizational budget and/or project budget
11. Qualifications of key personnel

Initial approach: Letter (no more than 2 pages)
Copies of proposal: 1
Board meeting date(s): Mar., June, Sept., Nov., and Dec.
Deadline(s): None
Final notification: Generally 3 to 6 months after formal proposal is submitted
Additional information: Two-page letter should include a description of the organization and project, as well as the amount of support needed from the endowment. If the project is judged to be of interest to the endowment, one copy of a complete proposal will be requested and should include the items from above and any additional items requested by the endowment staff. All grantseekers receive written notification of decisions
Donor(s)

Note: If a donor is deceased, the symbol (‡) follows the name.
- Eli Lilly‡
- J.K. Lilly, Sr.‡
- J.K. Lilly, Jr.‡
- Ruth Lilly‡

Officers and Directors
- N. Clay Robbins, C.E.O. and Pres. and Director
- Sara B. Cobb, V.P., Education
- Christopher L. Coble, V.P., Religion
- Diane M. Stenson, V.P. and Treas.
- E.G. White, V.P., Finance
- Ace Yakey, V.P., Community Devel.
- David D. Biber, Secy.
- Daniel P. Carmichael
- Craig R. Dykstra
- William G. Enright, Ph.D
- Charles E. Golden
- Eli Lilly, II
- Mary K. Lisher
- David N. Shane

Staff

Number of Staff
18 full-time professional
17 full-time support

Key Staff
Note: Does not include officers.
- Clarence Crain, Prog. Dir., Education
- Barbara S. DeHart, Prog. Dir., Education
- Jessicah Krey Duckworth, Prog. Dir., Religion Prog.
- Judith Cebula, Dir., Comms.
- Ronni Kloth, Prog. Dir., Community Devel.
- Charles D. Preston, Prog. Dir., Community Devel.
- Richard O. Ristine, Prog. Dir., Community Devel.
- Patricia R. Villars, Prog. Dir., Education
- John R. Wimmer, Prog. Dir., Religion
- Jacklyn Dowd, Director, Evaluation

Memberships

Regional Associations of Grantmakers
- Indiana Philanthropy Alliance

**Affinity Groups**
- Association of Black Foundation Executives
- Consortium of Foundation Libraries
- Grantmakers for Children, Youth and Families
- Grantmakers for Education

**Associations and Other Philanthropic Organizations**
- Association of Black Foundation Executives
- Council on Foundations, Inc.
- Grantmakers for Children, Youth and Families
- Grantmakers for Education
- GuideStar USA
- Independent Sector

**Financial Data**
Year ended 2014-12-31
Assets: $9,995,102,248 (market value)
Gifts received: $5,777,206
Expenditures: $357,168,131
Total giving: $333,630,649
Qualifying distributions: $345,253,407
Giving activities include:
$327,976,029 for 608 grants (high: $18,500,000; low: $1,286)
$950,000 for 95 grants to individuals of $10,000 each
$4,704,620 employee matching gifts
$12,230 for 3 foundation-administered programs

**Online Communications**
- Facebook

**Additional Location Information**
County: Marion
Metropolitan area: Indianapolis-Carmel-Anderson, IN
Congressional district: Indiana District 07
Lumina Foundation

Last Updated: 2016-09-14

At A Glance
P.O. Box 1806
Indianapolis, IN United States 46206-1806
Telephone: (317) 951-5300
Contact: Juan Suarez, Sr. V.P., External Affairs; Lucia Anderson, Comms. Dir.
Fax: (317) 951-5063
E-mail: jsuarez@luminafoundation.org
URL: www.luminafoundation.org

Type of Grantmaker
Independent foundation

Financial Data
(yr. ended 2014-12-31)
Assets: $1,265,931,444
Total giving: $36,515,285

Additional Contact Information
E-mail for Lucia Anderson: landerson@luminafoundation.org. Toll free: (800) 834-5756

Background
Established in 2000

Limitations
Giving on a national basis.
No support for P-12 education reform, discipline-specific schools of study and training or religious activities (except for activities that promote educational access and success and that serve diverse recipients without regard to their religious background); no grants that support single institutions; and no support for electioneering or lobbying activities.
No grants to individuals (except for employee matching gifts), or for scholarships, fundraisers, corporate sponsorships, meetings and conferences (except for those related to a strategic initiative of the foundation), capital campaigns, or endowment funds.

**Purpose and Activities**
The foundation's primary goal is to raise the proportion of the U.S. adult population who earn college degrees to 60 percent by 2025. The foundation is dedicated to expanding access and success in education beyond high school.

**Program Area(s)**
The grantmaker has identified the following area(s) of interest:

**Matching Gifts**
The foundation matches the monetary gifts of its employees to charitable organizations.

**Fields of Interest**

**Subjects**
- Education
- Higher education

**Population Groups**
- Academics
- Adolescents
- Adults
- American Indians
- Children and youth
- Economically disadvantaged people
- Ethnic and racial groups
- Immigrants and migrants
- Low-income and poor people
- People of African descent
- People of Asian descent
- People of Latin American descent
- Students
- Young adults

**Geographic Focus**
- National

**Support Strategies**
- Capacity-building and technical assistance
- Continuing support
- Convening
- General support
● Program development
● Program evaluations
● Publications
● Recordings
● Research
● Seed money
● Technical assistance

Transaction Types
● Employee matching gifts
● Matching grants
● Pro bono consulting services

Publications
● Newsletter
● Application guidelines
● Grants list
● Financial statement
● Informational brochure (including application guidelines)
● Occasional report

Application Information
See foundation web site for LOI instructions. Only selected LOIs will receive a response
Application form required.
Applicants should submit the following:
  1. Detailed description of project and amount of funding requested
Initial approach: Online letter of inquiry
Copies of proposal: 1
Board meeting date(s): Mar., Jun., Sept., and Nov.
Deadline(s): None
Final notification: 3 to 6 months

Donor(s)
*Note: If a donor is deceased, the symbol (‡) follows the name.*
● USA Group, Inc.
● SLM Holding Corp.

Officers and Directors
● James C. Lintzenich, Chair. and Director
● Jamie P. Merisotis, C.E.O. and Pres.
● Samuel D. Cargile, V.P., Sr. Advisor to C.E.O.
● Courtney Brown, Ph.D, V.P., Strategic Impact
● Danette Howard, Chief Strategy Off. and Sr. V.P.
● J. David Maas, V.P., Finance and Investments and C.F.O.
- Dewayne Matthews, V.P., Policy and Strategy
- Kiko Suarez, V.P., Comm. and Innovation
- Julie K. Shewmaker, Cont. and Dir. Acct.
- Holiday Hart McKiernan, C.O.O. and Exec. V.P.
- Frank D. Alvarez
- Kathy Davis
- Matthew A. Goldberg
- Austin Goolsbee
- Allan Hubbard
- F. Joseph Loughrey
- Marie V. McDemmond
- Alisa A. Miller
- Laura Palmer Noone
- Michael L. Smith
- Belle S. Wheelan
- Mark G. Yudof
- Debra Humphries, V.P., Strategic Devel.

**Staff**

**Number of Staff**
27 full-time professional
9 full-time support

**Key Staff**

*Note: Does not include officers.*
- Indira Anand, Dir., Inf. Tech.
- Candace Brandt, Grants Mgmt. Off.
- Cody Coppotelli, Investment Off.
- Micky Clymore, Facility Svcs. Assoc.
- David Croom, Strategy Off.
- John Duong, Dir., Strategic Impact Investments and Social Innovation
- Haley Glover, Dir., Convening Strategy
- Elizabeth Gutierrez, Dir., State Policy
- Matthew Jenkins, E-Communications Assoc.
- Susan D. Johnson, Dir., Equity and Inclusion
- Jeanna Keller-Berdel, Sr. Strategy Off.
- Shelley Lloyd, HR and Dir., Admin.
- Thomas Major, Jr., Corp. Counsel and Strategy Off.
- Christine Marson, Strategy Off.
Memberships

Regional Associations of Grantmakers
- Indiana Philanthropy Alliance

Affinity Groups
- Association of Black Foundation Executives
- BoardSource
- Emerging Practitioners in Philanthropy
- Grantmakers for Education
- Grantmakers for Effective Organizations
- Mission Investors Exchange
- National Committee for Responsive Philanthropy
- Philanthropy Roundtable
- Youth Transition Funders Group

Associations and Other Philanthropic Organizations
- Association of Black Foundation Executives
- BoardSource
- Council on Foundations, Inc.
- Emerging Practitioners in Philanthropy
- Grantmakers for Education
- Independent Sector
- Youth Transition Funders Group

Financial Data
Year ended 2014-12-31
Assets: $1,265,931,444 (market value)
Expenditures: $81,091,260
Total giving: $36,515,285
Qualifying distributions: $64,483,037
Giving activities include:
$1,515,923 for 511 employee matching gifts
$19,005,695 for 4 foundation-administered programs

Online Communications
- Philamplify Assessment Report
- Multimedia
- Jamie Merisotis on Twitter
- Grant Database
- Knowledge Center
- E Newsletter
- Other
- LinkedIn
- Twitter

Additional Location Information
County: Marion
Metropolitan area: Indianapolis-Carmel-Anderson, IN
Congressional district: Indiana District 07
The Robert Wood Johnson Foundation

Last Updated: 2016-09-14

At A Glance
College Rd. E. and Rte. 1, P.O. Box 2316
Princeton, NJ United States 08543-2316
Telephone: (877) 843-7953
E-mail: mail@rwjf.org
URL: www.rwjf.org

Type of Grantmaker
Independent foundation

Additional Descriptor
● Celebrity: Business

Financial Data
(yr. ended 2014-12-31)
Assets: $10,501,370,521
Total giving: $346,240,905

Background
Incorporated in 1936 in NJ; became a national philanthropy in 1972 - Founded by the late Robert Wood Johnson, who built the family firm, Johnson & Johnson, (which was founded by his father Robert Wood Johnson, I) into a worldwide health and medical care products company. Because of his service during World War II as a brigadier general in charge of the New York Ordnance District, people who knew him addressed him as the General. President Roosevelt appointed him as Vice Chairman of the War Production Board and Chairman of the Smaller War Plants Corporation. He endowed the foundation with a $1.2 billion bequest from his personal fortune. In 2014 the foundation announced that it will continue to work on issues it
believes are key to the well-being of all Americans, but within its organization it will no longer divide its efforts into the silos of health and health care. Rather, all that it does will serve one goal: building a culture of health. The grantmaker is a signatory to Philanthropy’s Promise, an initiative of the National Committee for Responsive Philanthropy (NCRP). By signing on, the grantmaker has committed to allocating the majority of its grantmaking dollars to marginalized communities and at least 25 percent to social justice strategies, such as advocacy, community organizing, and civic engagement.

Limitations
Giving primarily in the U.S.
No support for political organizations, international activities, programs or institutions concerned solely with specific chronic conditions or basic biomedical research.
No grants to individuals, or for ongoing general operating expenses, endowment funds, capital costs, including construction, renovation, or equipment, or research on unapproved drug therapies or devices, end-of-life care, long-term care or for physical activity for adults age 50 or older.

Purpose and Activities
The foundation’s mission is to improve the health and health care of all Americans. Its efforts focus on fostering environments that promote health and on improving how health care in America is delivered and paid for, and how well it does for patients and their families.

Program Area(s)
The grantmaker has identified the following area(s) of interest:

21st Century Leadership
The foundation identifies leaders who have the potential to transform our nation’s health and providing them with the support they need to realize their promise. It is committed to investing in the development of health innovators and to develop new health-focused leadership programs that connect people across sectors as well as disciplines, capitalize on technology to promote networking and mentoring, and reach and help many more individuals.

Bridging Health and Health Care
The foundation believes that for too long being healthy has been defined as not being sick. It also believes that good health extends beyond the walls of medical offices to the places where people live, learn, work and play. As such, the foundation will continue to invest in programs that bridge health and health care, balancing treatment with prevention, community action and individual responsibility.

Cost, Quality, and Value
The foundation is committed to seeking the best possible outcomes and highest value from our national investments in health care, public health and population health. This includes reducing wasteful spending, increasing the reach and efficacy of fundamental health services, and investing in the identification and spread of strategies to improve the quality and reduce the costs of health care and other health services.
Culture of Health Prize
The prize is awarded annually to honor outstanding community efforts and partnerships that are helping people live healthier lives. Winning communities will each receive a $25,000 cash prize and have their accomplishments celebrated and shared broadly with the goal of raising awareness and inspiring locally-driven change across the country. The prize is a place-based prize that honors whole U.S. communities; submissions representing the work of a single organization or initiative will not be considered. Each applicant community will be required to designate a local U.S. governmental or tax exempt public charity operating in its community to accept the $25,000 prize on the community’s behalf, should they win. Community partners can decide together how to use the funds to benefit the community; reports to RWJF or UWPHI on prize expenditures are not required. See foundation web site eligibility and selection criteria and online application process.

Equal Opportunity
The foundation works with other foundations, organizations, and businesses that have long worked to increase opportunities in education, housing, and community development to make the fruits of good health available to all. In 2013, it established Forward Promise, a $9.5 million initiative focused on promoting opportunities for the health and success of middle school and high school-aged boys and young men of color. The foundation will continue to delve more deeply into the causes of the unacceptable gaps in opportunity for good health and identify additional areas that we believe call for immediate attention.

Future of Nursing Scholars
The goal of the program is to develop the next generation of PhD-prepared nurse leaders who are committed to long-term careers that advance science and discovery, strengthen nursing education, and bring transformational change to nursing and health care. Schools with research-focused PhD programs in nursing are eligible to apply for the program. Selected schools will choose the PhD students to be designated as Future of Nursing Scholars. The school must be committed to facilitating the scholar’s completion of the PhD in three academic years. The scholars selected by the school must also be committed to completing their PhD program in three academic years. Each scholar will receive $75,000 to be used over the three years of the program. This award must be matched by $50,000 in support from the school (which may be in-kind). See foundation web site for online application process.

Health Care Coverage For All
For decades, the foundation has worked to improve access to affordable, high-quality coverage for all Americans. The Affordable Care Act has created new opportunities for more than 30 million uninsured Americans to acquire coverage. The foundation’s objective is to ensure that all who are eligible for coverage know what is available to them and how to make the most of it.

Healthy Places and Practices
To help build public demand for a culture of health, the foundation will identify, support, and spread the word about individual and community actions that promote lifelong health for all Americans. It is committed to finding strong examples of places and practices reflecting a
culture of health, and sharing the lessons they have to offer with others so Americans can link forces and build small victories into a national movement.

**Healthy Weight for All Children**
In 2007, the foundation announced that it would dedicate $500 million to reversing the childhood obesity epidemic in America. The relentless rise in childhood obesity rates has abated, and in some areas has even decreased. Moving forward, the foundation will stress the importance of achieving a healthy weight for all of our nation’s children, especially in poor communities and those of color.

**Program-Related Investment**
The foundation has made PRIs in the form of loans in a pooled PRI fund to improve economically disadvantaged urban communities and the lives of their residents and for an expansion of playworks to promote youth development in school. The foundation has made a PRI to provide a reserve for a loan fund serving women and minorities in medicine. In the following years, PRI support has included funding for organizations focused on the aging, the housing needs of the chronic mentally ill, and increasing the effectiveness of rural hospitals. Specifically, PRIs have supported facilities improvement and equipment acquisition, and capitalized earned income ventures and housing development projects.

**Vulnerable Populations**
The foundation supports finding innovative and effective ways of addressing the many factors that influence the health of our nation’s most vulnerable people. Its work in this area will focus on mental wellness and violence prevention, particularly in early childhood. The foundation believes we cannot call ourselves a healthy nation if we continue to be a violent one. The foundation has chosen to address how health is diminished by all forms of violence—child abuse, bullying, post-traumatic stress, domestic abuse, street violence—and how the cycle can be broken.

**Fields of Interest**

**Subjects**
- Addiction services
- Bullying
- Child development
- Child educational development
- Child welfare
- Environmental health
- Family services
- Health
- Health care financing
- Health insurance
- Homeless services
- Hospital care
- Leadership development
- Medical education
- Mental and behavioral disorders
- Mental health care
- Nursing care
- Obesity
- Palliative care
- Preventive care
- Senior services
- Smoking
- Voluntarism

**Population Groups**
- Academics
- American Indians
- Children and youth
- Economically disadvantaged people
- Ethnic and racial groups
- Homeless people
- Low-income and poor people
- People with disabilities
- Seniors

**Geographic Focus**
- National

**Support Strategies**
- Continuing support
- Policy, advocacy and systems reform
- Program development
- Program evaluations
- Research
- Research and evaluation
- Seed money
- Technical assistance

**Transaction Types**
- Employee matching gifts
- Matching grants
- Program-related investments

**Application Information**
The foundation awards most grants through calls for proposals connected with its areas of focus. It accepts unsolicited proposals for projects that suggest new and creative approaches to solving health and health care problems. RWJF will continue to accept unsolicited proposals for the Pioneer Portfolio. Pioneer welcomes proposals for unsolicited grants at any time and issues
awards throughout the year. There are no deadlines. Check website for Open Calls for Proposals.

Application form required.

Applicants should submit the following:
1. How project's results will be evaluated or measured
2. Statement of problem project will address
3. Listing of additional sources and amount of support
4. How project will be sustained once grantmaker support is completed
5. Detailed description of project and amount of funding requested
6. Copy of current year's organizational budget and/or project budget
7. Results expected from proposed grant
8. Population served
9. Qualifications of key personnel
10. Timetable for implementation and evaluation of project
11. Contact person
12. Brief history of organization and description of its mission

Initial approach: Electronic brief proposal

Board meeting date(s): Quarterly

Deadline(s): None

Final notification: 6 to 12 months

Additional information: If the foundation requests a full proposal, instructions will be provided regarding what information to include and how to present it. If applying for an unsolicited grant from the Pioneer Portfolio, submit a brief proposal online.

**Donor(s)**

*Note: If a donor is deceased, the symbol (‡) follows the name.*

- Robert Wood Johnson‡

**Officers and Trustees**

- Roger S. Fine, Chair. and Trustee
- Affiliation(s):
  - Windham Venture Partners, General Partner
  - Child Health Institute of New Jersey, Board Chair.
  - University of Medicine and Dentistry of New Jersey, Board Member
  - Ohr Torah Stone Institutions of Israel, Trustee
  - Risa Lavizzo-Mourey, MD, C.E.O. and Pres. and Trustee
- Affiliation(s):
President's Council for Fitness, Sports and Nutrition, Member
Institute of Medicine of the National Academies, Member
Smithsonian, Citizen Member of the Board of Regents
Robin E. Mockenhaupt, Chief of Staff
James S. Marks, Exec. V.P.
John R. Lumpkin, MD, Sr. V.P. and Dir., Health Care Group
David C. Colby, Ph.D, V.P., Research and Evaluation
Katherine Hatton, V.P., Secy., and Genl. Counsel
Charles "Robin" Hogen, V.P., Communications
David L. Waldman, V.P., Human Resources and Admin.
Albert O. Shar, Ph.D, V.P., Inf. Tech.
Brian S. O'Neil, C.I.O.
Peggi Einhorn, C.F.O. and Treas.
William Roell, Cont.
Linda Burnes Bolton
Allan S. Bufferd
Brenda S. Davis
Charles D. Ellis, Ph.D., M.B.A
Julio Frank
Affiliation(s):
Harvard Kennedy School of Government, Faculty Dean and Professor
William H. Frist, MD
Kathryn S. Fuller
Patricia A. Gabow, MD
Thomas M. Gorrie, Ph.D
Joann Heffernan Heisen
Jeffrey P. Koplan
Ralph S. Larsen
Affiliation(s):
General Electric Co., Board Member
AT&T Wireless Corp., Board Member
Larsen Foundation, Inc., Pres. and Tr.
Xerox Corp., Board Member
Robert Litterman
Affiliation(s):
Kepos Capital, Chair., Risk Committee
Alfred P. Sloan Foundation, Board Member
Commonfund, Chair.
World Wildlife Fund, Board Member
Willard D. Nielsen
Peter R. Orszag
Phyllis M. Wise
- Affiliation(s):
  - Nike, Inc., Board Member
  - University of Illinois at Urbana-Champaign, Chancellor
  - RAND Health Board of Advisors, Board Member

**Staff**

**Number of Staff**
- 134 full-time professional
- 8 part-time professional
- 86 full-time support
- 3 part-time support

**Key Staff**

*Note: Does not include officers.*
- David Adler, Comms. Off.
- Thomas Andruszewski, Sr. Grants Admin.
- Deborah H. Bae, Prog. Off., Health Care
- Joann Baquilod, Grants Admin.
- Jamie B. Bussel, Prog. Off., Health
- Joseph P. Calabrese, Dir., Philanthropy App. and Analytics
- Abbey K. Cofsky, Prog. Off.
- Denise A. Davis, Prog. Off., Health Care
- Nancy Wieler Fishman, Sr. Prog. Off.
- Sallie Anne George, Prog. Off., Health Care
- Claire B. Gibbons, Prog. Off.
- John Govea, Sr. Prog. Off., Health
- Robert Graham, Dir., Aligning Forces for Quality (AF4Q)
- Lori K. Grubstein, Prog. Off., Health Care
- Jasmine N. Hall Ratliff, Prog. Off., Health
- Kate Sullivan Hare, Dir., Policy Outreach and Public Affairs
- Susan B. Hassmiller, Sr. Advisor for Nursing
- Katherine Hempstead, Sr. Prog. Off., Research and Evaluation
- Brenda L. Henry, Prog. Off.
- Rona Smyth Henry, Dir., Staff Devel.
- Andrew D. Hyman, Team Dir. and Sr. Prog. Off., Health Care
- Sofia Kounelias, Grants Admin.
- David M. Krol, Team Dir. and Sr. Prog. Off., Health Care
- Paul Kuehnert, Sr. Prog. Off. and Dir., Public Health
- Maryjoan D. Ladden, Sr. Prog. Off., Health Care
- Laura C. Leviton, Special Advisor for Evaluation
- Jane Issacs Lowe, Team Dir. and Sr. Prog Off., Health
- Kris McDaid, Dir., Applications and Web Tech.
- Joe Marx, Sr. Comms Off.
Angela K. McGowan, Sr. Prog. Off., Health
Molly McKaughan, Dir. Research Resources
Lori A. Melichar, Sr. Prog. Off.
Susan R. Mende, Sr. Prog. Off., Health Care
James L. Mendez, Grants Admin.
Jan A. Mihalow, Grants Admin.
Linda Wright Moore, Sr. Comms Off.
Kristine Nasto, Dir., Foundation Svcs.
Marco V. Navarro, Sr. Prog. Off.
Tracy Orleans, Sr. Prog. Off. and Sr. Scientist
Michael W. Painter, Sr. Prog. Off., Health Care
Herminia Palacio, Dir. and Sr. Prog. Off., Human Capital and Leadership
Marjorie A. Paloma, Prog. Off.
Christine Phares, Grants Admin.
Daniela M. Phayme, Grants Admin.
Dwayne C. Proctor, Team Dir. and Sr. Prog. Off., Health
Brian C. Quinn, Prog. Off.
Tom J. Raven, Dir., Prog. Service Ctr.
Sharon Z. Roerty, Sr. Prog. Off., Health
Pamela G. Russo, Sr. Prog. Off., Health
Kristin B. Schubert, Sr. Prog. Off., Health
Donald Schwarz, Dir., Catalyzing Demand for Healthy Places and Practices
Lois Shevlin, Sr. Grants Admin.
Maisha E. Simmons, Prog. Off., Health
Paul Tarini, Sr. Prog. Off., Health Care
Stephen A. Theisen, Grants Admin.
Kathryn A. Thomas, Sr. Comms Off.
Anne F. Weiss, Team Dir. and Sr. Prog. Off., Health Care
Naima T. Wong, Prog. Off.
Hope A. Woodhead, Dir., Production and Creative Svc(s).
Wendy L. Yallowitz, Prog. Off., Health

Memberships

Regional Associations of Grantmakers
- Council of New Jersey Grantmakers
- Philanthropy Network Greater Philadelphia
- Texas Grantmakers Health and Human Services

Affinity Groups
- Consortium of Foundation Libraries
- Funders' Network for Smart Growth and Livable Communities, Inc.
● Funders Together to End Homelessness
● Grantmakers for Children, Youth and Families
● Grantmakers for Effective Organizations
● Grantmakers in Aging
● Grantmakers in Health
● Mission Investors Exchange
● Philanthropy Roundtable
● Social Enterprise Alliance

Associations and Other Philanthropic Organizations
● Council on Foundations, Inc.
● Funders' Network for Smart Growth and Livable Communities, Inc.
● Grantmakers for Children, Youth and Families
● Grantmakers in Aging
● Grantmakers in Health
● GuideStar USA
● Independent Sector

Financial Data
Year ended 2014-12-31
Assets: $10,501,370,521 (market value)
Gifts received: $2,990
Expenditures: $565,494,575
Total giving: $346,240,905
Qualifying distributions: $475,328,795
Giving activities include:
$346,240,905 for 3112 grants (high: $6,250,000; low: $55)
$50,651,361 for foundation-administered programs
$18,637,879 for 5 loans/program-related investments

Online Communications
● Human Capital Blog
● NewPublicHealth Blog
● Twitter
● Culture of Health Blog
● RSS Directory
● YouTube
● Pioneering Ideas Blog
● Knowledge Center
● Twitter
● David C. Colby, V.P., Research & Evaluation on Twitter
● The Robert Wood Johnson Foundation Staff
● Facebook
● Risa Lavizzo-Mourey, C.E.O. and Pres. on Twitter
Additional Location Information

County: Mercer
Metropolitan area: Trenton, NJ
Congressional district: New Jersey District 12
The Rockefeller Foundation

Last Updated: 2016-06-08

At A Glance
420 5th Ave.
New York City, NY United States 10018-2702
Telephone: (212) 869-8500
URL: www.rockefellerfoundation.org

Type of Grantmaker
Independent foundation

Additional Descriptor
● Celebrity: Business; Politics

Financial Data
(yr. ended 2014-12-31)
Assets: $4,237,699,395
Total giving: $150,273,949

EIN
131659629

BRIDGE Number
2861018775

990

Background
Incorporated in 1913 in NY - Founded by the late John D. Rockefeller, a prominent industrialist who founded the Standard Oil Co. and who defined the structure of modern philanthropy. His fortune was mainly used to create the modern systematic approach of targeted philanthropy with foundations that have had a major impact on medicine, education, and scientific research. He is also the founder of both The University of Chicago and Rockefeller University.

Limitations
Giving primarily in New York City, Africa, North America, and Southeast Asia. No grants to individuals for personal aid, or, except in rare cases, for endowment funds or building or operating funds.

Purpose and Activities
Operating both within the United States and around the world, the Rockefeller Foundation supports work that expands opportunity and strengthens resilience to social, economic, health and environmental challenges, affirming its pioneering philanthropic mission since 1913 to "promote the well-being" of humanity.

Program Area(s)
The grantmaker has identified the following area(s) of interest:

Advancing Innovation Processes to Solve Social Problems
This initiative uses private-sector innovation tools and methods to discover social breakthroughs that development organizations and social entrepreneurs can use to respond to the complex circumstances facing poor and vulnerable populations. It supports four primary areas of activity:
1) Testing the value and applicability of commercial innovation models for addressing social problems; 2) Scaling up or replicating existing socially-focused or not-for-profit innovation models; 3) Influencing providers of innovation platforms and techniques to sustainably and systematically provide their services to the social sector; and 4) Encouraging NGOs, researchers, funders, and entrepreneurs focused on pro-poor innovation to use open-innovation models. The program expects to achieve four key outcomes: 1) Test and prove which existing commercial-market models of innovation (such as crowdsourcing, design-thinking, and user-driven innovation) work as effective innovation tools for development; 2) Provide NGOs and other pro-poor, not-for-profit development organizations with the necessary local and global knowledge and capacity to use innovation models to enhance the efficiency and productivity of their work; 3) Enable both users and providers to replicate and scale up the use of and demand for innovation models that have a proven track record of success in addressing development problems to benefit poor communities; and 4) Foster partnerships between developers and providers of innovation tools and the social sector to continue sharing and demonstrating the effectiveness of socially-focused or not-for-profit innovation models.

Bellagio Center Residency Programs
The residency program offers a serene setting conducive to focused, goal-oriented work, and the unparalleled opportunity to establish new connections with fellow residents from a stimulating array of disciplines and geographies. 1) The writing residency is for university and think tank-based academics, researchers, professors, and scientists working in any discipline.
The program typically offers one-month residencies for no more than 12 residents at a time. Individuals based at a university or a think tank in any discipline and from any part of the world are welcome to apply. To ensure an intellectually diverse and stimulating environment, the program welcomes projects from all academic disciplines. 2) The arts and literary arts residencies are for composers, fiction and non-fiction writers, playwrights, poets, video/filmmakers, and visual artists who share in the foundation’s mission of promoting the well-being of humankind and whose work is inspired by or relates to global or social issues. The program typically offers one-month stays for about three to five artists at a time. Artists of significant achievement from any country are welcome to apply. 3) The practitioner residencies are open to professionals in fields and institutions relevant to the foundation’s work. The Center has a strong interest in proposals that align with the foundation’s mission to expand opportunities and to strengthen resilience for poor or vulnerable people, in particular projects relevant to the foundation’s core issue areas. The foundation works on global health; climate change resilience; urbanization; social and economic security; and food, water, and housing. The program seeks practitioner applicants with demonstrated leadership qualities and the capacity to contribute to the intellectual life at the center. See web site for application guidelines.

Bellagio Creative Arts Fellowships
The program awards fellowships to visual artists whose work demonstrates exceptional originality and is inspired or informs global or social issues. The two-month residency is in a private apartment complete with studio space on the peaceful grounds of the Rockefeller Foundation Bellagio Center, adjacent to Northern Italy’s Lake Como. In addition to room and board, each fellow will receive a cash award, round-trip travel expenses to Bellagio, and a printed publication of artwork to be produced after the residency. Each year, an advisory panel of leading international curators and artists identifies nominees from all over the world.

Centennial Innovation Challenge
In celebration of the foundation's centennial, the Centennial Innovation Challenge seeks solutions that will improve livelihoods for workers in the informal economy. It is often out of necessity that 1.8 billion people find their livelihoods in the informal economy -- the business enterprises and jobs that exist partially or fully outside of government regulation. Informal workers lack basic safety nets like pensions and health insurance, and are typically without recourse if denied pay or asked to work in unsafe conditions. Informal employment is a significant and growing part of the world’s economy; it accounts for around 50% of employment in North Africa and in Latin America, 65% of employment in Asia and 72% in Sub-Saharan Africa. Given the vast number of informal workers, it is important to spur new innovations that improve workers’ livelihoods. As many as ten finalists will or have been considered for a chance to apply for a grant of up to US $100,000 and win support in proposal-writing to enable the further development of submitted ideas.

Climate Change Resilience
The foundation has announced a five-year, $70 million commitment to build the resilience of communities most likely to be affected by climate change. The foundation's Climate Change Resilience initiative aims to develop the ability of communities to manage and plan for the effects of climate change and to make sure that planning includes the most vulnerable citizens.
One component of the initiative will focus on Asian cities. According to the Population Reference Bureau, more than 60% of the increase in the world's urban population in the next thirty years will occur in Asia, which already has a larger urban population than all other regions of the world combined. In addition, a recent study of populations at risk for sea-level rise noted that eight of the top ten countries likely to be affected are in Asia. Another component will focus on raising awareness in the United States and exploring relevant solutions. The destruction in recent years caused by hurricanes, record heat waves, and wildfires, as well as the introduction of climate adaptation plans in Seattle, New York City, and California, underscores the foundation's belief in the increasing importance of the need to expand domestic efforts to build resilience to climate change. Part of the effort will require developing a shared agenda between groups working on climate change mitigation as well as those working on building resilience to climate change. Africa, where vulnerability to climate change is very high, will also be a focal point of the initiative. Given the dependence on rain-fed agriculture in parts of sub-Saharan Africa, the growing season there could decrease as much as 20 percent.

**Grants to Individuals Program**

Awards to recognize two living individuals whose creative vision for the urban environment has significantly contributed to the vibrancy and variety of New York City. Residencies to composers, novelists, playwrights, poets, video/filmmakers, and visual artists to work on their projects in Italy.

**Helping Build an Impact Investing Industry**

The initiative aims to overcome the major obstacle to the growth of the impact investing industry using 4 strategies: 1) Catalyzing platforms for collective action that enable leading impact investors and intermediaries to coordinate efforts, such as disseminating standards, and sharing information; 2) Supporting the development of scaled intermediation vehicles that help absorb impact investments at a scale necessary to attract the institutional investors who control the lion’s share of global capital; 3) Building industry-wide infrastructure that enables broader and more effective participation in the impact investing industry; 4) Supporting research and advocacy efforts that promote an analytical understanding of the impact investing industry and take necessary steps to facilitate its maturation. Key outcomes sought include: 1) Spark collective action platforms for impact investing industry leaders; 2) Develop industry infrastructure that can sustainably support impact investors; 3) Support scaling of organizations and structures (such as private equity funds and investment clubs).

**Jane Jacobs Medal**

In 2007, the year after the visionary urban activist Jane Jacobs died, the foundation launched the Jane Jacobs annual award to honor her work. This medal reaffirms the foundation’s commitment to New York City by recognizing those whose creative uses of the urban environment build a more diverse, dynamic and equitable city. Medals are awarded to two living persons whose accomplishments represent Jane Jacobs’ principles and practices in action in New York City. The selection of the winners and allocation of the prize money—totaling $200,000—are decided by the members of a medal selection jury. The first award recognizes leadership and lifetime contribution. The second award recognizes new ideas and activism. Together the medalists represent the creativity, innovation and dynamism of New York City.
Linking Global Disease Surveillance Networks
The initiative is working to establish transnational detection, monitoring and communications systems to strengthen disease prevention. This initiative aims to mitigate the impact of disease outbreaks through three strategies: 1) Optimizing individual and institutional capacity for disease surveillance in two regions at highest risk—the Mekong region in Asia and both Eastern and Southern Africa; 2) Promoting collaboration in disease surveillance and response across countries and within regions to improve horizontal communication and knowledge sharing; 3) Building bridges between disease surveillance networks and international agencies—and connections between animal health, human health and environmental health—through the One Health approach—increasing the efficiency of global systems for disease surveillance and response. Key outcomes the program works toward include: 1) Set up transboundary disease surveillance networks in Southeast Asia, and in Eastern and Southern Africa; 2) Give disease surveillance practitioners and their institutions better capacity; 3) Provide disease surveillance practitioners with better access to improved tools; and 4) Ensure that policymakers, human health and veterinary practitioners take a trans-disciplinary approach.

New York City Opportunities Fund
Through the fund the Foundation supports three primary projects. 1) The NYC Cultural Innovation Fund supports creativity and the arts, with an emphasis on innovation. It awards two-year grants, ranging from $50,000 to $250,000, for groundbreaking creative work that enriches the city’s cultural life and strengthens the role that the arts will play in the future of New York. 2) The Jane Jacobs Medal honors the work of Jane Jacobs by recognizing those whose creative uses of the urban environment build a more diverse, dynamic and equitable city. 3) Opportunity NYC, which recognizes the day-to-day challenges faced by low-income people. The program provides payment to low-income families and individuals to increase participation in three targeted activities—education, health and employment—to maximize their chances of breaking the inter-generational cycle of poverty.

Program-Related Investment
The foundation makes PRIs in businesses that are aligned with the foundation’s initiatives and overall strategy, directly furthering the goals of the foundation’s five issue areas. PRIs have been made to New York City and Louisiana programs to develop housing for low-income residents, as well as to an organization working to raise the incomes of Ugandan smallholder farmers who grow bananas and other cash crops by improving their access to financial capital, and to a community investment fund that provides growth capital and management assistance to businesses in, or that hire from, low- and moderate-income communities in California.

Promoting Equitable, Sustainable Transportation Policies
The initiative advocates for investment in affordable, environmentally-responsible transit. This initiative supports strategies at the national and local level. At the federal level, the program funds policy analysis and research that informs a new transportation policy for America, encourages national leaders and grassroots leaders to embrace a new policy agenda and promotes and coordinates philanthropic participation in transportation work. On the state and local level, the program resources advocacy efforts to encourage state/metro reform, builds the internal capacity of state departments of transportation and metro planning organizations, and
encourages sustainability and equity through market and consumer levers. The initiative seeks three key outcomes: 1) Policy: Policymakers at all levels of government in the United States will have actionable and practical research and analytical support to advance equitable, sustainable and economically beneficial transportation policies; 2) Capacity: A diverse constituency of national and grassroots leaders will be able to influence debates, positions and issues in transportation, and to hold leaders accountable; 3) Expanded Partnerships: An ongoing network of philanthropic and major donor partners will continue to support federal efforts in sustainable transportation solutions, sustain regional ones and maintain reform infrastructure beyond the conclusion of the initiative's support.

**Protecting American Workers Economic Security: Campaign for American Workers**
The Campaign for American Workers initiative recognizes that there is danger in the growth of economic insecurity, but there is also opportunity. The initiative focuses on three primary strategies: 1) Promoting policies and tools to increase savings and retirement security; 2) Fostering demonstrations that ensure more secure and portable health care coverage; and 3) Supporting evidence-based analysis of proposed and current policies on economic security of American workers, particularly the most vulnerable and disadvantaged workers. Key outcomes the initiative is working to achieve include: 1) Improve knowledge and understanding among policymakers and thought leaders of the need for and pathways to a new social contract to improve economic security; 2) Implement public-private partnerships, new institutional arrangements and pilot projects that result in workers having greater access to health care, predictable savings and retirement income; and 3) Explore policy and program innovations that help guide federal and state legislators on workable options to increase economic security through access to quality jobs and health care, and easier, more effective ways to save for today and in retirement.

**Rebuilding New Orleans**
The Rebuilding New Orleans Initiative, which has supported the successful process to develop a Unified New Orleans Plan, is in its final phase. Over the next years, the foundation will support the implementation of many of the ideas in the UNOP and build thriving and diverse neighborhoods including: working closely with Greater New Orleans Foundation, providing strategic support for professional staff, and supporting national and community-based organizations working to rebuild the city.

**Strengthening Food Security: Alliance for Green Revolution in Africa**
This initiative helps make food supplies secure by working with smallholder farmers to achieve rapid and sustainable agricultural growth with their staple crops. The focus of the program is on: 1) Improving access to more resilient seeds that produce higher and more stable yields; 2) Promoting soil health and productivity; 3) Building more efficient local, national and regional, agriculture markets; and 4) Promoting improved policies and building partnerships to develop the technological and institutional changes needed to achieve a Green Revolution. The program seeks four outcomes: 1) Science, knowledge and technology are disseminated and used by African small-scale farmers to rapidly increase agricultural productivity in ways that are sustainable and environmentally friendly; 2) Small-scale farmers achieve increased productivity, income and profit in 10 or more African countries by giving them greater access to markets for
their staple crops; 3) Policies, infrastructure and financial incentive mechanisms are in place regionally and nationally to provide a supportive and sustainable environment for agricultural transformations; and 4) Alliances and platforms are developed collaboratively with bilateral and multilateral partners, national governments, research organizations, farmers’ organizations and others to address the need for greater agricultural productivity, resource mobilization, and human resources to achieve food security.

**Transforming Health Systems**

This initiative helps improve the health of more people through greater access to timely, appropriate, and affordable health services through four strategies: 1) Fostering health systems research and agenda setting for universal health coverage; 2) Enhancing professional capacity to plan and manage high-performing health systems; 3) Harnessing the resources of the private sector to finance and deliver health services; and 4) Leveraging interoperable eHealth systems. Key outcomes the program strives for are: 1) Inform leadership and public policy so that universal health coverage is accepted as a feasible and desirable goal and is adopted by a growing number of national governments; 2) Build capacity in both public and private sectors for enhanced development and stewardship of health systems in selected countries; and 3) Support innovation, tools, and global public-private partnerships and networks to develop interoperable eHealth systems that improve quality, access and affordability of health services in selected countries.

**Fields of Interest**

**Subjects**
- Climate change
- Community and economic development
- Economic development
- Health
- International development
- International relations

**International Interests**
- Africa
- Global Programs
- Southeastern Asia

**Population Groups**
- Economically disadvantaged people
- Low-income and poor people

**Geographic Focus**
- Africa
- National, International
- New York
- Southeastern Asia
Support Strategies
- Continuing support
- Convening
- Curriculum development
- Fellowships
- General support
- Pilot programs
- Program development
- Publications
- Research
- Research and evaluation
- Seed money
- Student aid
- Technical assistance

Transaction Types
- Employee matching gifts
- Program-related investments

Publications
- Annual report (including application guidelines)
- Financial statement
- Grants list

Application Information
Organizations submitting inquiries that foundation staff thinks might contribute to a defined area of work will be asked to submit a full proposal. Please do not send a proposal by mail or e-mail unless invited to do so. See foundation web site for the Ballagio Center application information.
Application form not required.
Initial approach: Online funding inquiry form
Copies of proposal: 1
Board meeting date(s): Apr., Aug., and Dec.
Deadline(s): April 1 for Centennial Innovation Challenge
Final notification: 6 to 8 weeks

Donor(s)
Note: If a donor is deceased, the symbol (‡) follows the name.
- John D. Rockefeller, Sr.‡

Officers and Trustees
- David Rockefeller, Jr., Chair. and Trustee
- Dr. Judith Rodin, Pres. and Trustee
- Peter Madonia, C.O.O.
- Neill Coleman, V.P., Global Communications
- Zia Khan, V.P., Initiatives and Strategy
- Shari L. Patrick, Genl. Counsel and Corp. Secy.
- Ellen Taus, C.F.O. and Treas.
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- Monica Lozano
- Strive Masiyiwa
- Diana Natalicio
- Dr. Ngozi Okonjo-Iweala
- Richard D. Parsons, Chair-elect
- John W. Rowe
- Ravi Venkatesan

Staff

Number of Staff
171 full-time professional
2 part-time professional

Key Staff
Note: Does not include officers.
- Michael Berkowitz, Managing Dir., 100 Resilient Cities
- Mamadou Biteye, Managing Dir., Africa
- Fred Boltz, Managing Dir., Ecosystems
- Ashvin Dayal, Managing Dir., Asia
- Pamela Foster, Managing Dir., Prog. Opers. and Assoc. Genl. Counsel
- Robert Garris, Managing Dir.
- John Irons, Managing Dir.
- Claudia Juech, Managing Dir.
- Nancy Kete, Managing Dir.
- Scott Leeb, Managing Dir.
- Nancy MacPherson, Managing Dir.
- Saadia Madsbjerg, Managing Dir.
- Michael Myers, Sr. Policy Off. and Dir. of Central Programming
- Pilar Palacia, Managing Dir.
- Gary H. Toenniessen, Managing Dir.
- Jeanette Vega, Managing Dir., Health Prog.
- Maxwell Young, Comm. Dir., 100 Resilient Cities

Memberships
Regional Associations of Grantmakers
- Philanthropy New York

Affinity Groups
- Africa Grantmakers Affinity Group
- Environmental Grantmakers Association
- Funders' Network for Smart Growth and Livable Communities, Inc.
- Grantmakers for Effective Organizations
- Grantmakers in the Arts
- International Human Rights Funders Group
- Mission Investors Exchange
- National Committee for Responsive Philanthropy
- Neighborhood Funders Group

Associations and Other Philanthropic Organizations
- Africa Grantmakers Affinity Group
- Council on Foundations, Inc.
- Environmental Grantmakers Association
- Funders' Network for Smart Growth and Livable Communities, Inc.
- GuideStar USA
- Independent Sector
- International Human Rights Funders Group
- Neighborhood Funders Group
- Women & Philanthropy

Financial Data
Year ended 2014-12-31
Assets: $4,237,699,395 (market value)
Expenditures: $212,984,756
Total giving: $150,273,949
Qualifying distributions: $196,412,211
Giving activities include:
$143,514,981 for 489 grants (high: $11,000,000; low: $1,000)
$299,341 for 4 grants to individuals (high: $144,586; low: $4,755)
$1,710,227 for 464 employee matching gifts
$4,665,635 for 1 foundation-administered program
$83,765 for 1 in-kind gift
$3,151,146 for 6 loans/program-related investments

Online Communications
- Blog
- Centennial Innovation Challenge
- Grant Database
- RSS feed
- E Newsletter
Additional Location Information

County: New York
Metropolitan area: New York-Newark-Jersey City, NY-NJ-PA
Congressional district: New York District 12
W. K. Kellogg Foundation

Last Updated: 2016-09-14

At A Glance

1 Michigan Ave. E.
Battle Creek, MI United States 49017-4005
Telephone: (269) 968-1611
URL: www.wkkf.org

Type of Grantmaker
Independent foundation

Additional Descriptor
- Celebrity: Business

Financial Data
(yr. ended 2014-08-31)
Assets: $8,621,183,526
Total giving: $294,891,874

Additional Contact Information
Fellowship application URL: http://www.wkkf.org/leadership

Background
Incorporated in 1930 in MI - Founded in 1930 as an independent, private foundation by breakfast cereal pioneer, Will Keith Kellogg, the W.K. Kellogg Foundation (WKKF) is among the largest philanthropic foundations in the United States. Guided by the belief that all children should have an equal opportunity to thrive, WKKF works with communities to create conditions for vulnerable children so they can realize their full potential in school, work and life. The Kellogg Foundation is based in Battle Creek, Mich., and works throughout the United States and
internationally, as well as with sovereign tribes. Special emphasis is paid to priority places where there are high concentrations of poverty and where children face significant barriers to success. WKKF priority places in the U.S. are in Michigan, Mississippi, New Mexico and New Orleans; and internationally, are in Mexico and Haiti. The grantmaker is a signatory to Philanthropy’s Promise, an initiative of the National Committee for Responsive Philanthropy (NCRP). By signing on, the grantmaker has committed to allocating the majority of its grantmaking dollars to marginalized communities and at least 25 percent to social justice strategies, such as advocacy, community organizing, and civic engagement.

**Limitations**

Giving primarily in the U.S., with emphases on Michigan, Mississippi, New Mexico and New Orleans, LA, funding also for programs in Mexico, Haiti, northeastern Brazil and southern Africa. No support for religious purposes or for capital facilities.

No grants to individuals (except for fellowship), or for scholarships, endowment funds, development campaigns, films, equipment, publications, conferences, or radio and television programs unless they are an integral part of a project already being funded; no grants for operating budgets.

**Purpose and Activities**

The W.K. Kellogg Foundation supports children, families, and communities as they strengthen and create conditions that propel vulnerable children to achieve success as individuals and as contributors to the larger community and society. The foundation's work is carried out by partners and programs that help it achieve its three organizational goals and embody its commitments to community and civic engagement, and to racial equity. The three goals are: 1) Educated kids: Success by third grade. Increase the number of children who are reading-and-math proficient by third grade; 2) Healthy Kids: Healthy birth weight and optimal development. Increase the number of children born at a healthy birth weight and who receive the care and healthy food they need for optimal development; and 3) Secure Families: Children and families at 200 percent above poverty. Increase the number of children and families living at least 200 percent above the poverty level.

**Program Area(s)**

The grantmaker has identified the following area(s) of interest:

**Community and Civic Engagement**

The foundation believes that people have an inherent capacity to solve their own problems and that social transformation is within reach for all communities. The foundation partners with those committed to increasing public and community participation in improving the lives of vulnerable children, families and communities. The foundation amplifies voices by supporting dialogue, leadership development and new models of organizing. It seeks to accelerate philanthropy that grows giving and promotes community-led innovation. And, WKKF is committed to help build a stronger, more effective and responsive nonprofit sector.

**Community Leadership Network Fellowship**
The fellowship targets individuals who can be transformative social change agents in their communities so that vulnerable children and their families can achieve optimal health and well-being, academic achievement and financial security. Embedded in this effort are the foundation’s two approaches to all its work: community and civic engagement and racial equity and healing. Selected fellow will spend three years honing leadership skills and sharing their experiences with a cohort of developing leaders. A total of 100 fellows will be equitably selected from the foundation’s U.S. priority places – Michigan, Mississippi, New Mexico and New Orleans – and will do their work from there. Another 20 fellows will be selected to function as a national cohort whose work will focus on racial healing and equity.

Education and Learning
All children need the support of parents, caretakers and the community to ensure their optimal development for success in school, work and life. WKKF partners with organizations focused on early childhood education to ensure that children arrive prepared and ready to learn in kindergarten and to achieve early success by the third grade. This work is accomplished through parental and family engagement; effective teaching; comprehensive bundled services where programs, practices, policies, partners, networks and systems are aligned to ensure effectiveness; and efforts to achieve racial equity in education and learning.

Employee Matching Gifts
The foundation matches gifts of all full-time employees, officers, trustees, and retired employees to eligible organizations having status as a public institution under Section 501(c)(3) and 509(a) of the Internal Revenue Code and foreign charitable organizations determined equivalent to a U.S. Section 501(c)(3) and 509(a) organization. This includes schools, government units, hospital or medical research facilities, religious organizations, and public-supported charities. Only direct gifts of cash or marketable securities to the charity by an eligible donor will be matched. The minimum gift is $25 and the maximum is $100,000 per eligible donor per calendar year. The maximum total corporate payout per employee, per year is $200,000. Gifts are matched on a two-to-one ratio. Contributions by the foundation may not be used to provide personal benefits to, or to discharge a pledge or other legal obligation of, the donor. Similarly, contributions by a donor that provide personal benefits to the donor or other person such as payments of tuition, membership dues, subscriptions, and other similar fees, are not eligible for matching grants by the foundation.

Family Economic Security
The foundation works to ensure that all families have opportunities to achieve economic security and provide stable environments for their children's optimal development. The foundation supports organizations and programs that: increase families' capability to accumulate and more effectively manage financial resources; align and strengthen industry employer training partnerships to create more quality jobs; and strengthen and integrate whole child and family support systems. The foundation recognizes that a family's primary needs must be addressed to create pathways out of poverty for children and support efforts that reduce inequities based on class, gender and race.

Food, Health and Well-Being
All children deserve good food, stimulation, nurturing and safe living environments and access to quality health care. The foundation helps children get a healthy start by supporting partners that: work to support mothers' and families' health throughout their lives; improve access to perinatal care systems and increase breastfeeding rates; and transform food systems so children and families gain more access to healthy foods in child care settings, schools and in their communities. Through grantmaking, policy and advocacy efforts, the foundation's focus is on the interrelated social determinants of health, especially for those disadvantaged by multiple societal factors, a disproportionate percentage of whom are children of color.

Grants to Individuals Program
Fellowships to merging and established leaders for leadership development with a focus on improving conditions for vulnerable children.

International
The foundation remains focused on supporting organizations and programs in Latin America, the Caribbean, northeastern Brazil and southern Africa that work to boost education and nutrition; reduce domestic violence and child abuse; increase community engagement and action; and build meaningful partnerships to propel vulnerable children and their families to success.

Priority Places
As a general guideline, the foundation allocates more than 90 percent of its funding each year to the United States. The foundation targets just over half of their budgeted domestic dollars to the following priority places: Michigan, Mississippi, New Mexico and New Orleans. The remaining domestic funds are spread across the U.S. in urban, suburban and rural communities.

Program-Related Investment
PRIs are typically below market rate investments to organizations that align with the foundation’s mission to improve the lives of vulnerable children and their families. The Kellogg Foundation defines PRIs separately from its mission-driven investment (MDI) program.

Racial Equity
The foundation envisions a nation where Americans embrace our common humanity and children of every race and ethnicity have equal opportunities to thrive. The foundation seeks to remove structural barriers and to overcome unconscious bias to create equitable opportunities for all children. It supports community-based and national organizations whose innovative and effective programs foster racial healing, and through action-oriented research and public policy work, translate insights into new strategies and sustainable solutions.

Fields of Interest

Subjects
- Agriculture
- Community and economic development
- Community improvement
- Diseases and conditions
● Early childhood education
● Education
● Elementary education
● Health
● Human services
● Immigrant services
● Leadership development
● Rural development
● Secondary education
● Sustainable development
● Voluntarism
● Youth organizing
● Youth services

International Interests
● Brazil
● Haiti
● Mexico
● Southern Africa

Population Groups
● Academics
● Adolescents
● American Indians
● Children
● Children and youth
● Economically disadvantaged people
● Ethnic and racial groups
● Immigrants and migrants
● Indigenous peoples
● Infants and toddlers
● Low-income and poor people
● People of South American descent
● People of African descent
● People of Asian descent
● People of Caribbean descent
● People of Latin American descent
● Single parents
● Students

Organizations
● Associations

Geographic Focus
● Brazil
- Haiti
- Louisiana
- Mexico
- Michigan
- Mississippi
- National, International
- New Mexico
- Southern Africa

Support Strategies
- Fellowships
- General support
- Program development
- Program evaluations
- Seed money
- Systems reform
- Technical assistance
- Translation

Transaction Types
- Employee matching gifts
- Matching grants
- Mission-related investments
- Program-related investments

Publications
- Annual report
- Financial statement
- Grants list

Application Information
The foundation requires all proposals to be submitted online via its website. No funds directly to individuals. Funding is limited to the United States (with priority funding in Michigan, Mississippi, New Mexico and New Orleans), two micro-regions in southern Mexico and two micro-regions in Haiti. Unsolicited proposals are not currently being accepted for the southern Africa and northeastern Brazil. In general, it does not provide funding for operational phases of established programs, capital requests (which includes the construction, purchase, renovation, and/or furnishing of facilities), equipment, conferences and workshops, scholarships or tuition assistance, films, television and/or radio programs, endowments, development campaigns, or research/studies unless they are an integral part of a larger program budget being considered for funding.
Application form required.
Initial approach: Online submission is required. For additional questions, contact the Central Proposal Processing office at (269) 969-2329
Copies of proposal: 1
Board meeting date(s): Monthly
Deadline(s): None
Final notification: 45 days
Applications accepted in the following language(s): English; Portuguese; Spanish; Creole
Additional information: The foundation is primarily funding new and innovative systems/community change and early childhood learning and development programs to improve the lives of vulnerable children, ages 0-8. In addition to poverty, these children face at least one other compounding factor, such as race, ethnicity, or a household headed by a single mother. The foundation also has a small focus on asset development for the single mothers of these children to help lift their family to 200 percent above the current Health and Human Services poverty guidelines. A Frequently Asked Questions section on the foundation’s web site can likewise answer many of the general questions you may have regarding the foundation and our funding process.

Donor(s)

Note: If a donor is deceased, the symbol (†) follows the name.

- W.K. Kellogg†
- W.K. Kellogg Foundation Trust
- Carrie Staines Kellogg Trust

Officers and Trustees

- Bobby Moser, Chair. and Trustee
- La June Montgomery-Tabron, C.E.O. and Pres.
- Linh C. Nguyen, C.O.O.
- Ross Comstock, V.P., Technology and Information Systems
- Donald G. Williamson, V.P., Finance and Treas.
- Joel R. Wittenberg, V.P. and C.I.O.
- Gail C. Christopher, V.P., Policy and Sr. Advisor
- Dianna Langenburg, V.P., Talent and HR
- James E. McHale, V.P., Prog. Strategy
- Carla D. Thompson, V.P., Prog. Strategy
- Joseph Scantlebur, V.P., Prog. Strategy
- Cindy Smith, V.P., Integrated Services
- Alandra Washington, V.P., Quality and Organizational Effectiveness
- Barbara Ferrer, Chief Strategy Off.
- Kathryn A. Kreckle, General Counsel and Corp. Secy.
- Celeste A. Clark
- Roderick D. Gillum
- Fred P. Keller
- Hanmin Liu
- Cynthia H. Milligan
- Ramon Murguia
- Khan Nedd
• Joseph M. Stewart
• Richard M. Tsoumas

Memberships

Regional Associations of Grantmakers
• Minnesota Council on Foundations
• Northern California Grantmakers
• Philanthropy Ohio

Affinity Groups
• Asian Americans/Pacific Islanders in Philanthropy, Inc.
• Association of Black Foundation Executives
• Consultative Group on Biological Diversity
• Emerging Practitioners in Philanthropy
• Funders' Network for Smart Growth and Livable Communities, Inc.
• Grantmakers Concerned with Immigrants and Refugees
• Grantmakers for Children, Youth and Families
• Grantmakers for Education
• Grantmakers for Effective Organizations
• Grants Managers Network, Inc.
• Grassroots Grantmakers
• Hispanics in Philanthropy
• Mission Investors Exchange
• National Alliance for Hispanic Health
• Native Americans in Philanthropy
• Philanthropy for Active Civic Engagement
• Sustainable Agriculture and Food Systems Funders
• Technology Affinity Group

Associations and Other Philanthropic Organizations
• Association of Black Foundation Executives
• Consultative Group on Biological Diversity
• Council on Foundations, Inc.
• Emerging Practitioners in Philanthropy
• Funders' Network for Smart Growth and Livable Communities, Inc.
• Grantmakers Concerned with Immigrants and Refugees
• Grantmakers for Children, Youth and Families
• Grantmakers for Education
• Grants Managers Network, Inc.
• Grassroots Grantmakers
• GuideStar USA
• Hispanics in Philanthropy
• Independent Sector
• Michigan Nonprofit Association
Financial Data
Year ended 2014-08-31
Assets: $8,621,183,526 (market value)
Expenditures: $387,923,060
Total giving: $294,891,874
Qualifying distributions: $376,575,309
Giving activities include:
$291,083,954 for 1318 grants (high: $8,000,000; low: $600)
$3,807,920 for 705 employee matching gifts
$10,181,645 for 4 foundation-administered programs
$1,000,000 for 1 loan/program-related investment

Online Communications
- RSS feed
- Blog
- Knowledge Center
- LinkedIn
- GR8by8 - For Education and Learning Grantees
- Food & Community
- YouTube
- W.K. Kellogg Foundation's Philanthropy Promise
- America Healing
- Grant Database
- Twitter
- Facebook
- Knowledge Center

Additional Location Information
County: Calhoun
Metropolitan area: Battle Creek, MI
Congressional district: Michigan District 03